WCPFC/PrepCon/46\* 7 December 2004

#### FINAL REPORT OF WORKING GROUP III

1. Working Group III was established at the second session of the Preparatory Conference (February 2002) to consider issues relating to the monitoring, control and surveillance (MCS) needs of the Commission. Mr. William Gibbons-Fly (U.S.A.) was appointed Chairman of WG.III.

2. The present document contains a summary of the discussions in WG.III on each of the matters within its terms of reference. A summary of the recommendations made by WG.III to the Preparatory Conference for transmission to the Commission appears in Part VII of the present document. A proposed future programme of work for the Technical and Compliance Committee of the Commission, based on the MCS issues identified by WG.III is at Annex III.

### I. TERMS OF REFERENCE

3. At its second session, the Preparatory Conference adopted terms of reference for WG.III (contained in document WCPFC/PrepCon/13, 1 March 2002). Those terms of reference required WG.III to:

(a) identify the needs of the Commission relating to MCS;

(b) in consultation with Working Group II, identify the objectives and priorities of the regional observer programme and to develop a scheme to deliver the programme in a manner consistent with the Convention. In addressing this matter, the Working Group should consider, inter alia:

- (i) the rights, duties and responsibilities of observers and fishing vessel masters; and
- (ii) the respective roles of the members of the Commission, including the role of the flag state, in the assignment and certification of observers;

(c) develop procedures for practical implementation of a boarding and inspection scheme consistent with the provisions of article 26 of the Convention;

(d) develop standards, specifications and procedures, consistent with the Convention, including article 24, for the operation of a vessel monitoring system (VMS);

(e) provide options in relation to other monitoring, control and surveillance needs of the Commission;

\* Reissued for technical reasons

(f) consider and provide advice on such other matters as may be referred to it by the Preparatory Conference or by other working groups of the Conference.

### **II. THIRD SESSION OF THE PREPARATORY CONFERENCE**

4. WG.III held two preliminary meetings during the third session of the Preparatory Conference (November 2002). During these meetings, WG.III

(a) developed a list of the possible MCS needs of the Commission;<sup>1</sup>

(b) agreed on an indicative programme of work identifying, on a tentative basis, the issues to be addressed by WG.III at each session of the Preparatory Conference and the progress expected on those issues;<sup>2</sup> and

(c) identified the principal elements for a boarding and inspection scheme and the MCS component of the Commission's observer programme.<sup>3</sup>

5. WG.III also agreed that, in order to maintain flexibility in its work, its indicative work programme would be re-evaluated periodically and modified as necessary.

## **III. FOURTH SESSION OF THE PREPARATORY CONFERENCE**

6. At the fourth session of the Conference (May 2003), WG.III held three meetings during which it began consideration of the principal elements of a vessel boarding and inspection scheme based on a discussion paper prepared by the Chairman<sup>4</sup> and the development of the Commission's observer programme on the basis of an informal paper prepared by the Chairman, taking into account the principal elements of the observer programme identified by WG.III at PrepCon III.<sup>5</sup>

7. In its discussion on the Commission's observer programme, WG.III urged that the following matters be considered in development of such a programme:<sup>6</sup>

(a) the usefulness of coordinating and communicating with the Chairman of WG.II on the data collection needs of the Commission in order to determine an effective level of coverage;

(b) the need to consider practical difficulties in implementing the observer programme which has bearing on the design of the observer programmes;

(c) the need for coordination and planning to ensure, to the maximum extent practicable, compatibility with sub-regional and national observer programmes already in place in the region;

(d) the desirability for standardization of data collection including standardized reporting formats for information that is collected;

<sup>&</sup>lt;sup>1</sup> WCPFC/PrepCon/21, Annex I (Summary report of the Chairman of WG.III).

<sup>&</sup>lt;sup>2</sup> WCPFC/PrepCon/21, Annex II.

<sup>&</sup>lt;sup>3</sup> WCPFC/PrepCon/21, Annex III.

<sup>&</sup>lt;sup>4</sup> WCPFC/PrepCon/WP.14.

<sup>&</sup>lt;sup>5</sup> WCPFC/PrepCon/21, Annex III, paragraph B.

<sup>&</sup>lt;sup>6</sup> WCPFC/PrepCon/26, paragraph 6.

(e) procedures relating to data confidentiality;

(f) that the purpose of the observer programme in its initial stages should be to focus on validation of catch but that other useful information such as vessel sightings could also be reported under the programme;

(g) the value of ensuring standardized and quality observer training;

(h) the significance of a phased or incremental approach to the Commission's observer programme; and

(i) cost effectiveness.

8. WG.III agreed that the development of the observer programme should build on the principal elements for the development of the observer programme agreed at PrepCon III, in close coordination with WG II and that a discussion paper be prepared on proposed guidelines for the rights, duties and responsibilities for observers, captains and crew, using similar guidelines adopted by other regional organizations and national governments as a starting point

9. With respect to its work programme for PrepCon V, the Working Group noted that the issues of the Commission's record of vessels and authorization to fish, VMS and vessel and gear markings had not yet been discussed and considered. The Group requested the Interim Secretariat to prepare for it an information paper on vessel and gear markings and, if possible, on VMS. These information papers, together with the draft proposed guidelines on rights and responsibilities of observers would serve as the basis for substantive discussions at the next meeting of WG.III.

## IV. FIFTH SESSION OF THE PREPARATORY CONFERENCE

10. WG.III held two meetings during the fifth session of the Preparatory Conference (September 2003). The Working Group focused its attention on the development of a scheme for boarding and inspection, based on a revised draft prepared by the Chairman.<sup>7</sup> Although work on the draft could not be completed during the session, it was agreed that the document should be further revised in light of the comments made for further consideration at the sixth session of the Preparatory Conference.

11. WG.III also considered its future work programme and priorities for PrepCon VI. The Working Group noted that although it had made substantial progress in elaborating the Commission boarding and inspection scheme, it had not had time to discuss the draft guidelines on the rights, duties and responsibilities for observers captains and crew<sup>8</sup>, proposed vessel and gear marking systems<sup>9</sup> and the issue of the Commission's vessel monitoring system.<sup>10</sup> WG. III agreed that these matters should be revisited at the sixth session.

<sup>&</sup>lt;sup>7</sup> WCPFC/PrepCon/WP.14/Rev.1.

<sup>&</sup>lt;sup>8</sup> WCPFC/PrepCon/WP.17.

<sup>&</sup>lt;sup>9</sup> WCPFC/PrepCon/WP.18.

<sup>&</sup>lt;sup>10</sup> WCPFC/PrepCon/WP.23.

12. It was also agreed that, in addition to completing the discussion on the draft boarding and inspection scheme, the following monitoring control and surveillance needs of the Commission were to be discussed in the following order of priority:<sup>11</sup>

- (a) the Commission's record for vessels and authorization to fish;
- (b) Commission vessel monitoring system;
- (c) the observer programme;
- (d) a vessel and gear marking scheme; and,
- (e) Port State control.

13. The Working Group also agreed to form a small group of volunteers to work intersessionally, in cooperation with the Chairman and Interim Secretariat, to begin the process of developing the Commission's record for vessels and authorizations to fish.

## V. SIXTH SESSION OF THE PREPARATORY CONFERENCE

14. During the sixth session of the Preparatory Conference (April 2004), WG.III held three meetings. The Working Group focused its attention on what it considered to be the most urgent MCS needs of the Commission, namely, the Commission's record of fishing vessels and fishing authorization and the scheme for vessel and gear marking.

15. WG.III produced a first draft of the Commission's record of vessels and fishing authorizations<sup>12</sup> on the basis of proposals submitted by the European Community,<sup>13</sup> Japan<sup>14</sup> and the FFA.<sup>15</sup> WG.III recommended that the consolidated draft should be further discussed and finalized at the next session as a recommendation to the Commission. Some participants in the Working Group reserved the right to further consider certain specific provisions of the draft Chairman's text.

16. During the course of discussions on the Commission's vessel record two additional informal proposals were presented by the European Community and the United States regarding the status of cooperating non-contracting parties. While there was no time for detailed consideration of these proposals, there appeared to be broad support for an effort to marry the documents of the two delegations into a proposal for future consideration. In addition, WG.III discussed possible linkages between the vessel record and future efforts by the Commission with respect to issues such as IUU fishing and measures to control fishing capacity.

17. WG.III also discussed the Commission's scheme for vessel and gear marking on the basis of the working paper prepared by the Interim Secretariat.<sup>16</sup> It was agreed that the proposal for the Commission's gear marking scheme (Annex B of the Secretariat's paper) was not urgent and could be discussed further either at the next session of the Conference or at the first session of the

<sup>&</sup>lt;sup>11</sup> WCPFC/PrepCon/WP.6, paragraph 21.

<sup>&</sup>lt;sup>12</sup> Issued at PrepCon VI as WCPFC/CRP.3.

<sup>&</sup>lt;sup>13</sup> WCPFC/PrepCon/DP.28.

<sup>&</sup>lt;sup>14</sup> WCPFC/PrepCon/DP.26.

<sup>&</sup>lt;sup>15</sup> WCPFC/PrepCon/DP.30.

<sup>&</sup>lt;sup>16</sup> WCPFC/PrepCon/WP.18.

Commission. The Working Group agreed on a draft proposal for a vessel marking scheme which could be submitted to the Commission in due course.<sup>17</sup>

18. Owing to lack of time, further discussion of options for the Commission's observer programme and VMS was deferred.

19. With respect to the future work programme of WG.III, it was noted that WG.III will meet for the last time at the seventh session of PrepCon. As limited time will likely be available for active deliberations at that session, it was agreed that the Group should try to complete its work on the draft record of fishing vessels and authorizations to fish and on adopting standards for cooperating non-contracting parties, which many participants viewed as closely linked to the vessel record. It was noted that the other items on the WG.III agenda would necessarily be passed to the Technical and Compliance Committee of the Commission for further consideration.

## VI. SEVENTH SESSION OF THE PREPARATORY CONFERENCE

20. WG.III held one meeting during the seventh session of the Preparatory Conference. The Group focused its work on the draft record of vessels and fishing authorizations and further discussion of the issue of cooperating non-contracting parties. With respect to the procedures for the record of fishing vessels and authorizations to fish, the Working Group was able to finalize the draft contained in WCPFC/PrepCon/CRP.3 as contained in Annex I to the present document. Japan noted the sensitivity of the issues raised by paragraph 13 of the final draft and reserved its position on the point. The United States noted that paragraph 12 should not be implemented in a way that would unduly affect legitimate operations involving carrier vessels flagged in countries not party to the Convention and that this matter should be addressed pursuant to article 29 of the Convention. Philippines noted possible logistical difficulties in providing colour photographs by the 1 July 2005 target date and suggested that, if it could not meet that deadline, such photographs would be provided at the earliest possible time thereafter.

21. The Working Group also considered further the proposals made at PrepCon VI with respect to the question of cooperating non-contracting parties. Following discussion of various proposals, the Working Group was able to formulate a recommendation in the form of a draft decision for consideration by the Commission (Annex II).

22. The Group also developed a future work programme for the Technical and Compliance Committee of the Commission, contained in Annex III, and decided to recommend to the Preparatory Conference that it forward this work programme to the Commission.

## VII. SUMMARY RECOMMENDATIONS

23. Working Group III proposes that the Preparatory Conference forward the following recommendations to the Commission at its inaugural session

(a) That the Commission adopts the vessel marking scheme contained in WCPFC/PrepCon/47.

(b) That the Commission adopts the procedures for its record of vessels and authorizations to fish contained in Annex I.

<sup>&</sup>lt;sup>17</sup> Issued at the session as WCPFC/CRP.4. Final official document to be issued in due course.

(c) That the Commission adopts the draft decision relating to cooperating nonmembers contained in Annex II.

(d) That the Technical and Compliance Committee of the Commission adopts the draft programme of work contained in Annex III of the present document and proceeds to consider the matters listed therein on the basis of the relevant working documents produced for the Preparatory Conference and taking into account the discussions in Working Group III.

24. With respect to the specific plan of work for the Technical and Compliance Committee, the Working Group recommended the convening of a meeting in 2005, in association with the meeting of the Scientific Committee, in order to make further progress on the Commission's compliance functions. It is recommended that the priorities for the first meeting of the Technical and Compliance Committee should be to develop the Commission's VMS, development of the observer programme and guidelines on transhipment, and preparation of a standard for national reports to monitor compliance by Commission members and Cooperating non-members.

\_ \_ \_

#### Annex I

#### **RECORD OF FISHING VESSELS AND AUTHORIZATION TO FISH**

#### A. Authorization to fish

#### 1. Each member of the Commission shall:

(a) authorize its vessels to fish in the Convention Area, consistent with article 24 of the Convention, only where it is able to exercise effectively its responsibilities in respect of such vessels under the 1982 Convention, the Agreement and this Convention;

(b) take necessary measures to ensure that its vessels comply with conservation and management measures adopted pursuant to the Convention;

(c) take necessary measures to ensure that fishing for highly migratory fish stocks in the Convention Area is conducted only by vessels flying the flag of a member of the Commission;

(d) take necessary measures to ensure that any fishing vessel flying its flag conducts fishing in areas under the national jurisdiction of another State only where the vessel holds an appropriate license, permit or authorization, as may be required by such other State;

(e) undertake to manage the number of authorizations to fish and the level of fishing effort commensurate with the fishing opportunities available to that member in the Convention Area;

(f) ensure that no authorization to fish in the Convention Area is issued to a vessel that has a history of illegal, unreported or unregulated (IUU) fishing, unless the ownership of the vessel has subsequently changed and the new owner has provided sufficient evidence demonstrating that the previous owner or operator has no legal, beneficial or financial interest in, or control of the vessels, or the member concerned is satisfied that, having taken into account all relevant facts, the vessel is no longer engaged in or associated with IUU fishing;

(g) withdraw authorizations to fish consistent with article 25(4) of the Convention;

(h) take into account the history of violations by fishing vessels and operators when considering applications for authorization to fish by fishing vessels flying its flag;

(i) take necessary measures to ensure that the owners of the vessels on the WCPFC Record of Fishing Vessels flying its flag are citizens, residents or legal entities within its jurisdiction so that any control or punitive actions can be effectively taken against them.

2. No member of the Commission shall allow any fishing vessel entitled to fly its flag to be used for fishing in the Convention Area beyond areas of national jurisdiction unless it has been authorized to do so by the appropriate authority or authorities of that member.

3. Each such authorization shall set forth for the vessel to which it is issued:

- (a) the specific areas, species and time periods for which the authorization is valid;
- (b) permitted activities by the vessel;

(c) a prohibition of fishing, retention on board, transshipment or landing by the vessel in areas under the national jurisdiction of another State except pursuant to any license, permit or authorization that may be required by such other State;

(d) the requirement that the vessel keep on board the authorization issued pursuant to paragraph 1 above, or certified copy thereof; any license, permit or authorization, or certified copy thereof, issued by a coastal State, as well as a valid certificate of vessel registration; and

(e) any other specific conditions to give effect to the provisions of the Convention and conservation and management measures adopted pursuant to it.

### B. Members' record of fishing vessels

4. Pursuant to article 24(4) on the Convention, each member of the Commission shall maintain a record of fishing vessels entitled to fly its flag and authorized to fish in the Convention Area beyond its area of national jurisdiction, and shall ensure that all such fishing vessels are entered in that record.

5. Each member of the Commission shall submit, electronically where possible, to the Executive Director by 1 July 2005 the following information with respect to each vessel entered in its record:

- (a) name of the fishing vessel, registration number, WCPFC Identification Number (WIN), previous names (if known) and port of registry;
- (b) name and address of the owner or owners;
- (c) name and nationality of the master;
- (d) previous flag (if any);
- (e) International Radio Call sign
- (f) vessel communication types and numbers (Inmarsat A, B and C numbers and satellite telephone number);
- (g) colour photograph of the vessel;
- (h) where and when the vessel was built;
- (i) type of vessel;
- (j) normal crew complement;
- (k) type of fishing method or methods;
- (l) length;
- (m) moulded depth;
- (n) beam;
- (o) gross registered tonnage;
- (p) power of main engine or engines;
- (q) carrying capacity, including freezer type, capacity and number, and fish hold capacity; and
- (r) the form and number of the authorization granted by the flag State including any specific areas, species and time periods for which it is valid.

6. After 1 July 2005, each member of the Commission shall notify the Executive Director, within 15 days, or in any case within 72 hours before commencement of fishing activities in the Convention Area by the vessel concerned, of:

(a) any vessel added to its Record along with the information set forth in paragraph 5;

(b) any change in the information referred to in paragraph 5 with respect to any vessel on its record; and

(c) any vessel deleted from its record along with the reason for such deletion in accordance with article 24 (6) of the Convention,

7. Each member of the Commission shall submit to the Executive Director, information requested by the Executive Director with respect to fishing vessels entered in its national record of fishing vessels within fifteen (15) days of such request.

## C. WCPFC Record of Fishing Vessels

8. The Commission shall, in accordance with article 24(7) of the Convention and based on the information provided to the Commission in accordance with the Convention and these procedures, establish and maintain its own record of fishing vessels authorized to fish in the Convention Area beyond the national jurisdiction of the member of the Commission whose flag the vessel is flying. Such record shall be known as the WCPFC Record of Fishing Vessels.

9. The Executive Director shall ensure that due publicity is given to the WCPFC Record of Fishing Vessels including making its contents available through an appropriate website.

10. In addition, the Executive Director shall circulate an annual summary of the information contained in the WCPFC Record of Fishing Vessels to all members of the Commission at least 30 days prior to the annual meeting of the Commission.

11. Members of the Commission shall review their own internal actions and measures taken pursuant to paragraph 1, including sanctions and punitive actions and, in a manner consistent with domestic law as regards disclosure, report annually to the Commission the results of the review. In consideration of the results of such review, the Commission shall, if appropriate, request that the Flag State, or member, of vessels on the WCPFC Record of Fishing Vessels take further action to enhance compliance by those vessels with WCPFC conservation and management measures.

12. Any vessel not included in the WCPFC Record of Fishing Vessels shall be deemed not to be authorized to fish for, retain on board, transship or land highly migratory fish stocks in the Convention Area beyond the national jurisdiction of its flag State. Each member of the Commission shall prohibit such activities by any vessel entitled to fly its flag that is not included on the Record and shall treat a violation of this prohibition as a serious violation.

13. Each member of the Commission shall further prohibit landing at its ports or transshipment to vessels flying its flag of highly migratory fish stocks caught in the Convention Area by vessels not entered on the WCPFC Record of Fishing Vessels.

14. Each member of the Commission shall notify the Executive Director, in accordance with the relevant provisions of article 25 of the Convention, of any factual information showing that there are reasonable grounds to suspect that a vessel that is not on the WCPFC Record of Fishing Vessels is or has been engaged in fishing for or transhipment of highly migratory fish stocks in the Convention Area.

15. If such vessel is flying the flag of a member of the Commission, the Executive Director shall notify that member and shall request that member to take the necessary measures to prevent the vessel from fishing for highly migratory fish stocks in the Convention Area and to report back on the actions taken with respect to the vessel.

16. If such vessel is flying the flag of a non-member without cooperating status or if the flag of the vessel cannot be determined, the Executive Director shall inform all members of the Commission so that they may, in addition to measures specified in paragraph 13, take appropriate action consistent with the Convention.

17. The Commission and the members concerned shall communicate with each other, and make the best efforts with FAO and other relevant regional fishery management bodies to develop and implement appropriate measures, where feasible, including the establishment of records of a similar nature in a timely manner so as to avoid adverse effects upon fishery resources in other oceans. Such adverse effects might consist of excessive fishing pressure resulting from a movement of IUU fishing vessels between areas covered by other regional fishery management organizations.

## D. General

18. The obligations and responsibilities set forth in these provisions for members shall apply equally to any cooperating non-member designated by the Commission.

19. The Commission shall keep these procedures under review and may amend them as appropriate.

\_ \_ \_

#### Annex II

#### **COOPERATING NON-MEMBERS**

In giving effect to article 32 of the Convention:-

1. A non-member of the Commission, whose vessels intend to fish in the Convention Area, , may request the Commission for the status of a Cooperating non-member. Any such request shall be received by the Executive Director at least 90 days in advance of the annual meeting of the Commission at which the request will be considered. The Executive Director shall notify all members of the Commission of any such request.

2. A non-member seeking the status of Cooperating non-member shall include with its request:

(a) an indication of its views on ratification of or accession to the Convention;

(b) a commitment to cooperate fully in the implementation of conservation and management measures adopted by the Commission and to ensure that fishing vessels flying its flag and fishing in the Convention Area and, to the greatest extent possible, its nationals, comply with the provisions of the Convention and conservation and management measures adopted under it;

(c) full data on its historical fisheries in the Convention Area, including nominal catches, number/type of vessels, name of fishing vessels, fishing effort and fishing areas;

(d) all the data members of the Commission are required to submit, in accordance with the recommendations adopted by the Commission;

(e) details on its current fishing presence in the Convention Area, including the number of its vessels and their characteristics;

(f) results from research programmes it has conducted in the Convention Area; and

(g) any further relevant information as determined by the Commission.

3. Cooperating non members shall:

(a) Comply with all conservation and management measures in force in the Convention Area;

(b) Provide all the data members of the Commission are required to submit, in accordance with the recommendations adopted by the Commission;

(c) Inform the Commission annually of the measures it takes to ensure compliance by its vessels with the Commission's conservation and management measures;

(d) Respond in a timely manner to alleged violations of conservation and management measures by its vessels, as requested by a member of the Commission or determined by the appropriate subsidiary bodies of the Commission and communicate to the member making

the request and to the Commission, the actions it has taken against the vessels in accordance with the provisions of Article 25 of the Convention.

4. The Commission shall accord Cooperating non-member status on an annual basis. It may renew the conferral of status subject to a review of the Cooperating non-member's compliance with the Convention's objectives and requirements.

5. The Commission shall, in determining whether a non-party is accorded Cooperating nonmember status, have regard to:

(a) the views of the non-member applicant on ratification of or accession to the Convention;

(b) the status of the stocks and the existing level of fishing effort in the fishery; and

(c) its record of compliance with the provisions of the Convention and the conservation and management measures developed by the Commission and other Regional Fisheries Management Organizations (RFMOs).

6. Cooperating non-members are entitled to participate at meetings of the Commission and its subsidiary bodies as Observers.

7. A Cooperating non-member is invited to make a financial contribution commensurate with the benefits it enjoys from participation in the fishery. If a Cooperating non-member makes a voluntary contribution in any financial year and then subsequently becomes a member of the Commission in that financial year, its voluntary contribution will be offset against its assessed contribution for that financial year.

8. Cooperating non-members seeking to renew their status as a Cooperating non-member shall comply with any reasonable requirements the Commission may prescribe to ensure compliance with Commission conservation and management measures.

9. The Commission shall also consider information available from other RFMOs relating to non-members seeking Cooperating non-member status, as well as data submitted by such non-members to the Commission. Caution shall be used so as not to introduce into the Convention Area the excessive fishing capacity of other regions or IUU fishing activities in granting Cooperating non-member status to such non-members.

10. The Commission shall monitor the activities of nationals and fishing vessels of Cooperating non-members, including their record of compliance with the provisions of the Convention and conservation and management measures adopted under it and the willingness of such Cooperating non-members to voluntarily contribute to the work of the Commission.

11. The Commission shall revoke the status of any such Cooperating non-member whose nationals or fishing vessels have undermined the effectiveness of conservation and management measures adopted pursuant to the Convention.

12. The Executive Director shall contact each year all non-members whose vessels fish in the Convention Area for species under the Commission's competence to urge them to become a member of the Commission or to apply for the status of Cooperating non-member. In doing so,

the Executive Director shall provide a copy of all relevant recommendations and resolutions adopted by the Commission.

\_\_\_

# Annex III

## FUTURE WORK PROGRAMME FOR THE TECHNICAL AND COMPLIANCE COMMITTEE OF THE COMMISSION

		Relevant articles of Convention	Working paper
1.	Establish standards, specifications and procedures for the operation of a vessel monitoring system.	Articles 24 (8) and (9)	WCPFC/PrepCon/WP.23
2.	Define and establish the MCS component of the Commission's observer programme and develop such a programme consistent with the Convention.	Article 28	WCPFC/PrepCon/WP.17
3.	Establish procedures for practical implementation of a boarding and inspection scheme.	Article 26	WCPFC/PrepCon/WP.14/Rev.1
4.	Prepare a standard for national reports to monitor compliance by Commission members and Cooperating non-members.		
5.	Develop system for gear markings.	Articles 14 (2) (e) and 24 (5)	WCPFC/PrepCon/WP.18
6.	Consideration of port State measures, and non- discriminatory trade measures.	Articles 27 and 27 (1)	
7.	Consideration of guidelines for port inspections carried out by the port State.	Article 27 (2)	
8.	Develop guidelines for regulating and monitoring transhipment.	Article 29	
9.	Develop procedures for reviewing and assessing implementation of and compliance with conservation and management measures adopted by the Commission.	Articles 14, 23 and 25	

\_ \_ \_

# Annex IV

# LIST OF DOCUMENTS CONSIDERED BY WORKING GROUP III

Symbol	Title	Date of issue
WCPFC/PrepCon/13	Working Group III (Monitoring, Control and Surveillance): Terms of Reference	1 March 2002
WCPFC/PrepCon/21	Summary report by the Chairman of the Working Group to the third session of the Preparatory Conference	22 November 2002
WCPFC/PrepCon/26	Summary report by the Chairman of the Working Group to the fourth session of the Preparatory Conference	9 May 2003
WCPFC/PrepCon/33	Summary Report by the Chairman of the Working Group to the fifth session of the Preparatory Conference	3 October 2003
WCPFC/PrepCon/36	Preparations for the Meetings of Working Group III during the sixth session of the Preparatory Conference (Note by the Chairman of Working Group III)	30 March 2004
WCPFC/PrepCon/39	Summary report by the Chairman of the Working Group to the sixth session of the Preparatory Conference	23 April 2004
WCPFC/PrepCon/WP.6	Needs of the Commission with respect to monitoring, control and surveillance (Proposal by the Chairman)	3 October 2002
WCPFC/PrepCon/WP.14	Draft Procedures for Boarding and Inspection Pursuant to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (Working Paper by the Chairman of Working Group III)	24 April 2003
WCPFC/PrepCon/WP.14/Rev.1	Revised Draft Procedures for Boarding and Inspection Pursuant to the Convention on the Conservation And Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (Prepared by the Chairman of Working Group III)	10 September 2003
WCPFC/PrepCon/WP.17	Draft Guidelines for the Rights, Duties and Responsibilities for Observers, Captains and Crew (Prepared by the Chairman of Working Group III)	10 September 2003
WCPFC/PrepCon/WP.18	Background Paper on possible components of Regional Vessel and Gear Marking Systems (Prepared by the Secretariat)	10 September 2003
WCPFC/PrepCon/WP.23	Discussion Paper on the Institutional Options for VMS Cooperation among the Parties to the Convention on the Conservation and Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (Prepared by the Interim Secretariat)	2 April 2004
WCPFC/PrepCon/DP.26	Proposal on Record of Fishing Vessels (Submitted by the Delegation of Japan)	22 March 2004
WCPFC/PrepCon/DP.28	European Community contribution to the discussions on a Record of Fishing Vessels (Submitted by the Delegation of the European Community)	30 March 2004
WCPFC/PrepCon/DP.30	FFA Members' Contribution To The Commission's Record For Vessels And Authorization To Fish	19 April 2004
WCPFC/PrepCon/DP.31	Options for VMS Cooperation among the Parties to the Convention on the Conservation and the Management of Highly Migratory Fish Stocks in the Western and Central Pacific Ocean (Submitted by the Delegation of France)	20 April 2004