

20 October 2015

Mr. Doan Manh Cuong
Programme Officer, International Cooperation Department
Ministry of Agriculture & Rural Development
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Ba Dinh District, Hanoi
Vietnam
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Dear Mr Doan Manh Cuong,

The Conservation and Management Measure on Cooperating Non-Members (CMM 2009-11) requires the Executive Director to forward a copy of the relevant TCC recommendations and advice to non-members who had submitted an application for Cooperating Non-Member (CNM) status following the TCC11.

The paragraphs below record the relevant recommendations and advice of TCC on the CNM applications reviewed at TCC11.

Cooperating Non-Member Applications

TCC11 has reviewed your country's CNM application for 2016 and the application has been forwarded to WCPFC12 for consideration.

TCC11 notes with appreciation the attendance and participation of Ecuador, El Salvador, Panama and Vietnam at this year's meeting and encourages other CNM applicants to attend TCC meetings in the future.

TCC11 notes that all CNM applicants other than Mexico made a commitment to accept high seas boarding. TCC11 notes that all CNM applicants other than Mexico and Vietnam made a financial contribution and notes Vietnam's statement that payment was made over the course of TCC.

TCC11 noted the following gap or issue in the application for Cooperating Non-Member status:

“Vietnam– TCC11 noted that Vietnam's financial contribution had not yet been received during TCC11 but that Vietnam made a statement that payment was pending.”

The Secretariat confirms that the payment has been received in full for 2015.

Furthermore, TCC11 has recommended to the Commission that it take into consideration the Compliance Status of all CNM applicants in making its decision at WCPFC12 on the CNM applications and participatory rights.

Please find attached to this letter for your review and consideration prior to WCPFC12:

- **Attachment 1:** Copy of relevant advice and recommendations from the draft summary report of the Eleventh Regular Session of the WCPFC Technical and Compliance Committee on CNM applications;

Any supplementary information to support your CNM application can be sent to the Compliance Manager, Dr Lara Manarangi-Trott on lara.manarangi-trott@wcpfc.int with a copy to Assistant-Compliance Manager, Ms 'Ana Taholo on ana.taholo@wcpfc.int. We would suggest that where possible these should be provided to the Secretariat before **Tuesday 3rd November**, so that other CCMs can consider your information in advance of WCPFC12.

Compliance Monitoring Report

TCC11 has provisionally determined that Vietnam is considered to be “Compliant” under the provisions of CMM 2014-07. Please find attached to this letter for your review and consideration prior to WCPFC12:

- **Attachment 2:** Copy of relevant advice and recommendations under the WCPFC Compliance Monitoring Scheme (CMM 2014-07), which is comprised of the provisional compliance monitoring report as adopted by TCC11 containing a provisional overall compliance score for each individual country and notes on specific compliance issues related to 2014 activities as was recommended by TCC11.

Finally, to confirm that your country may also participate as an observer at WCPFC12, and details for the meeting and registration can be found on the WCPFC website <http://www.wcpfc.int/meetings/12th-regular-session-commission>

Sincerely,



Feleti P Teo, OBE
EXECUTIVE DIRECTOR

cc: **Mr. To Viet Chau**
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AGENDA ITEM 3 - CNM REQUESTS

110. In introducing this agenda item, the Compliance Manager noted that all seven CNM requests for 2016 were submitted using the electronic form endorsed last year; additionally, all were received on time. The meeting was directed to WCPFC-TCC11-2015-08_rev3 for detail on the seven CNM requests. The paper provides information around dates of receipt of information and also some notes on the financial contributions received to date.
 111. A small working group chaired by New Zealand (Shannon Tau) was requested to develop a first draft of recommendations and technical advice for TCC11's consideration. The small working group was asked to take note of para. 154 of the WCPFC11 report which "accepted the recommendation from the CNM SWG that a more directive and particularised letter from the Secretariat be provided to a CNM applicant after TCC where an incomplete application had been identified."
 112. The Chair asked that representatives from CNM applicants participate in the working group to provide further information and clarified that TCC's role was to assess and provide recommendations and technical advice on the CNM applications.
 113. Shannon Tau, the small working group Chair directed TCC to working paper WCPFC-TCC11-2015-29 which outlined some of the discussions that had taken place in the small group and draft TCC11 recommendations to WCPFC12.
 114. During the SWG, some CCMs noted their position that where CMM 2009-11 requires an explicit commitment to the Commission such as financial contributions that these commitments should be actually met. One CCM noted the FFA position that a proposal to amend the measure to make payment compulsory would be made at WCPFC12. A view was expressed that payment could be linked to the absence or presence of fishing activities but it was observed that the WCPFC11 meeting record stated that some CCMs considered that lack of fishing presence did not justify non-payment. It was observed that if Mexico were a full member this could allow it to make a financial contribution.
 115. The conditional status, and subsequent non-granting of CNM status for Senegal was noted. Vietnam requested that a greater breakdown of the financial contributions be provided by the Secretariat to assist in its internal financial processes and if possible, a forecast of future assessments when the Secretariat write to invite CNM applications following WCPFC12. The issue of general capacity management was raised as an issue to be discussed further at WCPFC12 and all CNM applicants participating in the SWG expressed willingness to cooperate in capacity management in the Convention area
 116. As this item came to the floor late on the final day of the meeting, in the interests of time, the Vice-Chair asked TCC if it wanted to accept the recommendations as a report. The meeting agreed to accept the recommendations as drafted by the SWG. The Vice-Chair thanked New Zealand and the CNM small working group for their work.
117. TCC11 provides the following decisions and recommendations to WCPFC12:
 - a. TCC11 has reviewed the following CNM applications and is forwarding them to WCPFC12 for consideration: Ecuador, El Salvador, Liberia, Mexico, Panama, Thailand, and Vietnam.

b.	TCC11 again thanks the Secretariat for the development of the CNM application template which assisted the process greatly and thanks CNM applicants for using the template and submitting their applications in accordance with the prescribed timeframes.
c.	TCC11 notes with appreciation the attendance and participation of Ecuador, El Salvador, Panama, and Vietnam at this year's meeting and encourages other CNM applicants to attend TCC meetings in the future.
d.	TCC11 notes the CNM working group process occurred in parallel with the compliance monitoring scheme process and recommends that following TCC11, in consultation with SPC, the Secretariat write a particularised letter to CNMs outlining any identified deficiencies in data provision and requests that CNM applicants provide any information outlined in these letters, and as requested during the CMS process, 30 days in advance of WCPFC12 and that these letters, and any responses be assessed by WCPFC12.
e.	TCC11 recommends that WCPFC12 take into consideration the Compliance Status of all CNM applicants in making its decision on the CNM applications and participatory rights.
f.	TCC11 notes that all CNM applicants other than Mexico made a commitment to accept high seas boarding.
g.	TCC11 notes that all CNM applicants other than Mexico and Thailand -Vietnam made a financial contribution and notes Vietnam's statement that payment was made over the course of TCC.
h.	TCC11 notes the position of some CCMs at WCPFC11 regarding provision on non-payment and notes the WCPFC11 summary record which recorded that WCPFC11 agreed to approve the application for renewal of CNM status in 2015 from Mexico on the understanding that the Secretariat will write a letter to Mexico advising that Mexico's non-payment of any financial contribution generated extensive discussion at WCPFC11. Some CCMs noted their strong concern at the unfairness for SIDS CCMs and other CNMs due to this non-payment and those CCMs did not consider the lack of presence of any Mexico vessels to justify non-payment. Those CCMs also noted that if this concern is not addressed before Mexico's next application for CNM status any such application would not be supported by those CCMs.
i.	TCC11 recommends that Mexico consider the prospect of a voluntary payment in advance of WCPFC12 given this statement in the WCPFC11 record, and its position regarding its national legislation.
j.	TCC11 notes that during the SWG four CNM applicants (Ecuador, El Salvador, Panama and Vietnam) have expressed or reiterated interest in becoming full members and that WCPFC12 consider whether to invite them to become members.
k.	TCC11 notes the following gaps or issues in the applications for individual applicants and encourages applicants to rectify them if possible by WCPFC12: <ul style="list-style-type: none"> i. Mexico – TCC11 notes that Mexico has not provided a commitment to make a financial contribution. TCC11 further notes that Mexico has not made an explicit commitment to accept High Seas Boardings and Inspections. ii. Ecuador – TCC11 notes that the provision of the Annual Report Part 1 occurred at TCC and requested that Ecuador provide this important information in a timely manner in the future

- iii. Panama – TCC11 notes that some Panama flagged vessels were listed on the SEAFO IUU list but Panama noted that these vessels had already been removed from the Panamanian ship register and undertook to provide a written update on this in advance of WCPFC.
- iv. Vietnam – TCC11 noted that Vietnam’s financial contribution had not yet been received during TCC11 but that Vietnam made a statement that payment was pending.

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- 118. Japan asked to make one comment regarding the CNM applicant Vietnam for the record. This ~~ECM-CCM~~ noted that Japan and the WCPFC had been promised by Vietnam that information would be provided relating to the construction of large-scale tuna vessels in Vietnam. Japan stated that during TCC it had received positive indications from Vietnam. Japan welcomed Vietnam’s positive attitude to addressing capacity management in the WCPO by providing information. Japan sought Vietnam’s cooperation to control the construction of large-scale tuna fishing vessels, and report to the Commission regarding capacity management in the WCPFC.
 - 119. The Vice-Chair referred the meeting to the last sentence of the report of the SWG (WCPFC-TCC11-2015-29) which says “The issue of general capacity management was raised as an issue to be discussed further at WCPFC12 and all CNM applicants participating in the SWG expressed willingness to cooperate in capacity management in the Convention area.”

**2015 PROVISIONAL COMPLIANCE MONITORING REPORT
(COVERING 2014 ACTIVITIES)
Executive Summary**

I. INTRODUCTION

1. TCC11 undertook its fifth annual review of compliance by CCMs against a priority list of Commission obligations agreed to at WCPFC11. (Att U to WCPFC11 Summary Report)
2. TCC11 has provisionally determined that the following CCMs are considered to be “Compliant” under the provisions of CMM 2014-07: Cook Islands, Mexico, New Caledonia, Nauru and Vietnam.
3. TCC11 has provisionally determined that the following CCMs are considered to be “Non-Compliant” under the provisions of CMM 2014-07: Australia, Canada, China, Ecuador, European Union, Fiji, Federated States of Micronesia, France, Indonesia, Japan, Kiribati, Republic of Korea, Marshall Islands, Niue, New Zealand, Panama, French Polynesia, Papua New Guinea, Philippines, Palau, Solomon Islands, El Salvador, Thailand, Tokelau, Tonga, Tuvalu, Chinese Taipei, United States of America, Vanuatu, Wallis and Futuna, and Samoa.

II. DEVELOPMENT OF THE PROVISIONAL COMPLIANCE MONITORING REPORT BY TCC11

4. TCC11 reviewed the draft Compliance Monitoring Report (draft CMR) for 36 CCMs in a closed working group session. The draft CMR is classified as non-public domain data as a number of CCMs were not able to agree to release their non-public domain data, therefore the decision was made to close the session.
5. TCC11 agreed to conduct the compliance review process in accordance with the agreed principles articulated in WCPFC-TCC11-2015-23 rev 1.
6. The tier scoring system adopted by WCPFC11 assisted in evaluating the compliance of CCMs for the provision of scientific data and TCC11 concluded that it was very useful and informative tool.
7. TCC11 notes that the Provisional CMR provides its provisional compliance assessment and it is submitting this report to the Commission for its consideration and final assessment.

III. ISSUES ARISING DURING THE COMPLIANCE REVIEW PROCESS

CMM 2007-01, Para 14(vii); CMM 2013-01, para 14; and CMM 2013-01, Para 16

8. TCC11 encountered difficulty in assessing the obligations in CMM 2007-01, Para 14(vii); CMM 2013-01, para 14; and CMM 2013-01, Para 16. A number of significant issues were raised by both flag states and coastal states.
9. TCC11 reached a compromise for progressing the issue during this year’s assessment; however, many CCMs remained uncomfortable with the agreed way forward. TCC11 note that this reflects a change to the way these obligations have previously been assessed.
10. TCC11 agreed that CCMs would be assessed as Compliant against these obligations if they were

able to provide details indicating that a good faith effort had been made to investigate the alleged violations. However, many CCMs noted that most of the reports of investigations fell far short of what would be considered a full investigation, and would be considering any relevant CCMs actions between TCC11 and WCPFC12 when assessing the final compliance status.

11. TCC11 agreed that the provisional CMR would clearly note the concerns raised with the agreed approach. The following issues were noted:

- a. CCMs take allegations of violations involving observer harassment or interference very seriously;
- b. CCMs consider the annual FAD closure to be a central part of the Tropical Tunas measure;
- c. The revised CMS CMM must include a mechanism that requires CCMs to report back annually on the progress of these investigations until they are resolved;
- d. The Commission must prioritize finding a resolution to facilitate the process for flag states to obtain observer reports relating to alleged violations in a timely manner;
- e. Any solution must include the commitment of both flag states and coastal states that provide observers to collaborate to improve this process;
- f. If the allegations of a violation come from an observer report, any investigation into those violations must include, at a minimum, review of that report;
- g. CCMs noted that a full investigation is the first step and what is most critical is that CCMs address any violations that are found; and
- h. Coastal states shall receive notification of alleged violations occurring in their waters.

12. TCC11 agreed that the agreed process this year was only accepted on the basis that this does not set a precedent for how these obligations will be assessed in the future.

13. TCC11 identified three recommendations to assist in improving this issue in the future.

14. TCC11 recommends that WCPFC12 prioritize finding a resolution to facilitate the process for flag states to obtain observer reports relating to alleged infringements in a timely manner, noting that any solution must include the commitment of both flag states and coastal states as well as observer providers to collaborate to improve this process. It is the responsibility of all CCMs to work together on this issue as a priority.

15. As an initial response to the recommendation in paragraph 13, TCC11 recommends that WCPFC12 adopt IWG-ROP4's recommendations in paragraphs 28 – 31 of the IWG-ROP4 Summary Report to establish a "pre-notification process from observer providers to flag CCMs of possible alleged infringements by their vessels."

16. TCC11 recommends that WCPFC12 encourage the Secretariat to continue its work developing the Secretariat's compliance case file system on the IMS, which would allow the Secretariat to track the alleged infringements reported by observers as Article 25(2) matters.

17. TCC11 was pleased to note that there has been significant progress in the provision operational level catch and effort data by two CCMs. However, there continues to be a significant difference of interpretation as to whether the obligation contained in paragraph 03 (Operational level catch and effort data) of the Scientific Data to be Provided to the Commission is met by providing aggregated catch and effort data and size composition data, as described in paragraphs

04 and 05 of the Scientific Data to be Provided to the Commission.

18. As a result, TCC11 was again unable to assess this obligation. However, TCC11 notes that additional progress in this area is expected in 2016.

19. In conducting its assessment of CMM 2013-01, para 40, TCC11 noted that a majority of CCMs identified one CCM as Priority Non-Compliant against this obligation, while a minority of CCMs believed that the CCM should be assessed as Compliant. The majority view was that despite the fact the CCM had adjusted its annual Bigeye Tuna quota for 2015, to reflect the overage in 2014, the CCM remained Priority Non-Compliant due to the overage. The minority view was that paragraph 40 allows for the adjustment of the following year's quota and thus if the CCM has made that adjustment, it should be assessed as Compliant.

20. Consistent with the 2012, 2013 and 2014 Final Compliance Monitoring Report, CCMs evaluated as "non-compliant" are strongly encouraged to address their implementation issues even without a response procedure.

21. TCC11 agreed not to assess the following paragraphs: CMM 2007-01 paragraph 8, CMM 2007-01, Att K, Annex C, paragraph 4; CMM 2013-01, paragraph 28; Section 03 of the Scientific Data Requirements (as noted above); and Section 07 of the Scientific Data Requirements.

V. REQUESTS FOR ASSISTANCE AND CAPACITY BUILDING

26. Several areas were identified where targeted assistance is required to assist SIDS and other CCMs in implementing specific obligations.

CMM	CMM paragraph	CCM
2007-01		Indonesia
2007-01	Att. K Annex C para 06	Kiribati, FSM, Vanuatu
2009-06		Kiribati, Vanuatu
2011-02 (2014-02)	9a	Indonesia
	9a VMS SSPs 7.2.2	Tuvalu
2013-01 (2014-01)	24, 44, 47, 48, 49	Indonesia

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Appendix 1: 2015 CMR Matrix covering 2014 activities (based on provisional CMR scores as recommended by TCC11)

CMM para	CCM																																			Total # of applicable CCMs assessed	No. of non-compliance	% of non-compliance								
CMR Section	AU	CA	CK	CN	EC	EU	FFA	FJ	FM	FR	ID	JP	KI	KR	MH	MX	NC	non-SIDS CCMs	NR	NU	NZ	PA	PF	PG	PH	PNAO	PW	SB	SV	TH	TK	TO	TV	TW	US				VN	VU	WF	WS				
vii CMM 2009-06 13				CN	EC	EU		FJ	FM	FR	ID	JP	KI	KR	MH	MX	NC				NZ	PA	PF	PG	PH	PNAO	PW	SB	SV	TH	TK	TO	TV	TW	US	VN	VU	WF	WS	20	6	30%				
v CMM 2009-06 29				CN	EC	EU						JP	KI	KR	MH									PG	PH			SB	SV					TW	US		VU			WS	12	0	0%			
i CMM 2009-06 34		AU				EU			FM			JP	KI	KR	MH							NZ			PG	PH			SB					TW	US		VU				WS	17	0	0%		
i CMM 2009-06 35 a (ii)		AU			CN	EC	EU		FJ	FM	FR	ID	JP	KI	KR		MX						PA	PF	PG	PH			SB				TO	TV	TW	US		VN	VU	WF	WS	21	6	29%		
ii CMM 2009-06 35 a (iii)				CN								JP	KI	KR	MH									PG	PH									TW	US		VU				WS	9	1	11%		
ii CMM 2009-06 35 a (iv)				CN	EC							JP	KI	KR	MH									PG	PH			SB						TW	US		VN	VU	WF	WS	9	6	67%			
vii CMM 2009-06 35 a (iv)				CN	EC							JP	KI	KR	MH									PG	PH			SB						TW	US		VN	VU	WF	WS	9	7	78%			
ii CMM 2010-01 05				CN	EC							JP	KI	KR	MH									PG	PH			SB						TW	US		VN	VU	WF	WS	9	8	89%			
i CMM 2010-01 08				CN								JP	KI	KR	MH										PG	PH								TW	US						WS	7	0	0%		
ii CMM 2010-01 08				CN								JP	KI	KR	MH										PG	PH								TW	US						WS	7	0	0%		
vii CMM 2010-02 02				CN								JP	KI	KR	MH											PG	PH							TW	US						WS	6	1	17%		
ii CMM 2010-05 01				CN	EC		EU		FJ	FM	FR	ID	JP	KI	KR	MH							PA	PF											TW	US		VN	VU	WF	WS	11	6	55%		
vii CMM 2010-05 01				CN	EC		EU		FJ	FM	FR	ID	JP	KI	KR	MH							PA	PF											TW	US		VN	VU	WF	WS	11	8	73%		
i CMM 2010-05 04		AU			CN	EC	EU					JP	KI	KR	MH										PG	PH								TW	US							WS	10	0	0%	
ii CMM 2011-02 04		AU			CN	EC	EU					JP	KI	KR	MH		NC							PG	PH							TO		TW	US		VN	VU	WF	WS	15	0	0%			
v CMM 2011-02 9a			CA		CN	EC	EU		FJ	FM		JP	KI	KR	MH								PA	PF	PG	PH				SB	SV				TW	US		VN	VU			WS	19	0	0%	
v CMM 2011-02 9a VMS SSPs 2.8		AU			CN	EC	EU		FJ	FM	FR	ID	JP	KI	KR	MH		NC					PA	PF	PG	PH			SB	SV				TW	US		VN	VU			WS	24	5	21%		
v CMM 2011-02 9a VMS SSPs 7.2.2		AU			CN	EC	EU		FJ	FM	FR	ID	JP	KI	KR	MH		NC					PA	PF	PG	PH			SB	SV				TW	US		VN	VU			WS	24	4	17%		
v CMM 2013-01 09		AU			CN	EC	EU		FJ	FM		JP	KI	KR	MH		NC						PA	PF	PG	PH			SB	SV				TW	US		VN	VU			WS	23	2	9%		
vii CMM 2013-01 09		AU			CN	EC	EU		FJ	FM		JP	KI	KR	MH		NC						PA	PF	PG	PH			SB	SV				TW	US		VN	VU			WS	23	2	9%		
i CMM 2013-01 14					CN	EC	EU					JP	KI										PA	PF		PG	PH			SB				TW	US							WS	10	0	0%	
iv CMM 2013-01 16		AU			CN	EC	EU			FM		JP	KI	KR	MH										PG	PH			SB	SV				TW	US							WS	19	3	16%	
iv CMM 2013-01 16 footnote 3		AU			CN	EC	EU			FM		JP	KI	KR	MH										PA	PF	PH			SB	SV				TW	US							WS	19	4	21%
vii CMM 2013-01 16 footnote 3		AU			CN	EC	EU			FM		JP	KI	KR	MH										PA	PF	PH			SB	SV				TW	US							WS	19	11	58%
vii CMM 2013-01 19										FM			KI																SB	SV													WS	3	2	67%
ii CMM 2013-01 20										FM			KI	KR	MH																												WS	4	0	0%
para 4																										PA																	WS	1	0	0%

Appendix 1: 2015 CMR Matrix covering 2014 activities (based on provisional CMR scores as recommended by TCC11)

CMM para	CCM																																Total # of applicable CCMs assessed	No. of non-compliance	% of non-compliance												
	CMR Section	AU	CA	CK	CN	EC	EU	FFA	FJ	FM	FR	ID	JP	KI	KR	MH	MX	NC	non-SIDS CCMs		NR	NU	NZ	PA	PF	PG	PH	PNAO	PW	SB	SV	TH				TK	TO	TV	TW	US	VN	VU	WF	WS			
CMM 2013-01 22	i																																												1	0	0%
CMM 2013-01 23	i																																												15	2	13%
	vii																																												15	1	7%
CMM 2013-01 24	ii																																												17	3	18%
	para 4																																												1	0	0%
	vii																																												17	12	71%
CMM 2013-01 25	i																																												13	0	0%
CMM 2013-01 28	i																																														
CMM 2013-01 30	i																																														
CMM 2013-01 33	i																																												18	0	0%
	v																																												17	1	6%
CMM 2013-01 34	v																																												13	2	15%
CMM 2013-01 37	iv																																												16	0	0%
	vii																																												16	1	6%
CMM 2013-01 40	i																																												6	1	17%
CMM 2013-01 41	i																																												4	0	0%
CMM 2013-01 44	ii																																												6	1	17%
	vii																																												6	4	67%
CMM 2013-01 47	i																																												3	1	33%
CMM 2013-01 48	ii																																												3	1	33%
CMM 2013-01 49	i																																												12	0	0%
CMM 2013-01 50	i																																												6	0	0%
	vii																																												6	0	0%
CMM 2013-01 51	i																																												10	1	10%
CMM 2013-01 52	i																																												8	1	13%
CMM 2013-01 54	para 4																																												1	0	0%
CMM 2013-01 Att C 03	ii																																												1	1	100%
	vii																																											</			

[illegible]

CMM para	CCM																																										
																				non-SIDS																							
CMR Section	AU	CA	CK	CN	EC	EU	FFA	FJ	FM	FR	ID	JP	KI	KR	MH	MX	NC	CCMs	NR	NU	NZ	PA	PF	PG	PH	PNAO	PW	SB	SV	TH	TK	TO	TV	TW	US	VN	VU	WF	WS				
vii	Green		Green	Green	Red	Red		Green	Green	Green	Red	Green	Green	Green	Green	Green	Green	Green			Green		Green	Green	Green			Green	Red			Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	Green	
SciData 07																																											
vi	Grey							Grey			Grey										Grey		Grey					Grey			Grey					Grey			Grey				
vii	Grey							Grey			Grey										Grey		Grey					Grey			Grey					Grey			Grey				
CCM Overall Score	Yellow		Green	Yellow		Green	Yellow					Green				Yellow					Green				Yellow					Green				Yellow					Green	Yellow			

AU	Australia	NR	Nauru	VN	Vietnam
CA	Canada	NU	Niue	VU	Vanuatu
CK	Cook Islands	NZ	New Zealand	WF	Wallis and Futuna
CN	China	PA	Panama	WS	Samoa
EC	Ecuador	PF	French Polynesia		
EU	European Union	PG	Papua New Guinea	Collective groups (para 4)	
FJ	Fiji	PH	Philippines	FFA	Pacific Islands Forum Fisheries Agency
FM	Federated States of Micronesia	PW	Palau	Non-SIDS CCMs	
FR	France	SB	Solomon Islands	PNAO	Parties to the Nauru Agreement
ID	Indonesia	SV	El Salvador		
JP	Japan	TH	Thailand		
KI	Kiribati	TK	Tokelau		
KR	Republic of Korea	TO	Tonga		
MH	Marshall Islands	TV	Tuvalu		
MX	Mexico	TW	Chinese Taipei		
NC	New Caledonia	US	United States		

Appendix 2: 2015 Provisional Compliance Monitoring Report (for 2014 activities)

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2nd, 3rd, 4th or 5th Year with a Potential Compliance Issue	Next Steps¹
	<i>CMM 2006-08: High Seas Boarding and Inspection</i>				
<i>Para (7)</i>	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu				
<i>Para (30)</i>	Australia, Cook Islands, United States		France	France [2]	France [c]
<i>Para (30) (reporting deadline)</i>	Australia, Cook Islands, France, United States				

¹ a. Further clarification of obligation

b. Capacity building or technical assistance required

c. Additional information required

d. Rectify by XX date

e. Other remedial action as determined by the commission. For alleged infringement CCM is to report back on investigation

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2nd, 3rd, 4th or 5th Year with a Potential Compliance Issue	Next Steps¹
<i>Para (32)</i>					
<i>Para (33) & (36)</i>					
<i>Para (33) & (36) (reporting deadline)</i>					
<i>Para (40)</i>	Australia, Canada, Cook Islands, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Chinese Taipei, Tuvalu, United States	Papua New Guinea	France	France [2]	France [c]
<i>Para (40) (reporting deadline)</i>	Australia, Canada, Cook Islands, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Chinese Taipei, Tuvalu, United States	Papua New Guinea	France	France [2]	
<i>Para (41)</i>	China, Fiji, Chinese Taipei	Philippines			
<i>Para (41) (reporting deadline)</i>	China, Fiji, Chinese Taipei	Philippines			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	<i>CMM 2007-01: Regional Observer Programme</i>				
<i>Para (7)</i>	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu, Panama				
<i>Para (8)</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
<i>Para (9)</i>	Australia, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu <i>*China [TCC11 was unable to reach a decision on this</i>		Indonesia	Indonesia (3)	Indonesia [b, e]

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	<i>assessment]</i>				
<i>Para (10)</i>	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (13)</i>	Australia, China, Cook Islands, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, Nauru, New Caledonia, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu	Palau			Palau [c];
<i>Para (14) (vii)</i>	Australia, Cook Islands, El Salvador, Fiji, Indonesia,		Ecuador	Ecuador [2]	Ecuador [e];

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	New Caledonia, New Zealand, Tuvalu Philippines, China, European Union, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, Papua New Guinea, Chinese Taipei, United States, Vanuatu				
<i>Att K, Annex C, Para (4)</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
<i>Att K, Annex C, Para (4) (para 4 – Collective obligation)</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	
<i>Att K, Annex C, Para (6)</i>	Cook Islands, China, Fiji, Korea, New Caledonia, Philippines, United States	Kiribati, Vanuatu	European Union, Federated States of Micronesia, Indonesia, Japan, Chinese Taipei, Tuvalu	European Union [3], Federated States of Micronesia [3], Indonesia [3], Japan [2], Chinese Taipei [3], Tuvalu [3]	Indonesia [b]; Kiribati [b]; Federated States of Micronesia [b]; Vanuatu [b]
<i>Att K, Annex</i>	China, Cook Islands,		Indonesia,	Indonesia [3];	Indonesia [b]

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>C, Para (6) (reporting deadline)</i>	European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, New Caledonia, Chinese Taipei, Tuvalu, United States, Vanuatu		Philippines	Philippines [3]	
<i>Att K, Annex C, Para (8)</i>	Australia, China, Cook Islands, Ecuador, European Union, El Salvador, Fiji, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Tuvalu, Chinese Taipei, United States, Vanuatu				
	<i>CMM 2009-03: Swordfish</i>				
<i>Para (1)</i>	Australia, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
<i>Para (2)</i>	Australia, European Union,		China		

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
<i>Para (3)</i>	Australia, China, European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
<i>Para (8)</i>	Australia, China, Cook Islands, European Union, Fiji, French Polynesia, Indonesia, Japan, Korea, New Caledonia, New Zealand, Chinese Taipei, Tonga, United States, Vanuatu				
<i>Para (8) (reporting deadline)</i>	Australia, China, Cook Islands, European Union, Fiji, French Polynesia, Japan, Korea, New Caledonia, New Zealand, Chinese Taipei, Tonga, United States,		Indonesia	Indonesia [2]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Vanuatu				
	CMM 2009-06: Transshipment				
<i>Para (11)</i>	European Union, El Salvador, Federated States of Micronesia, Fiji, Marshall Islands, New Zealand, Tuvalu, United States	Japan, Korea, ,Chinese Taipei, Vanuatu	China, Ecuador, Indonesia, Kiribati, Panama, Papua New Guinea, Philippines, Solomon Islands	China [2]; Ecuador [3]; Indonesia [3]; Kiribati [2]; Panama [3]; Papua New Guinea [2]; Philippines [2]; Solomon Islands [3]	China [c, e]; Ecuador [c, e]; Indonesia [c, e]; Kiribati [b, c, e]; Panama [c, e]; Papua New Guinea [c, e]; Philippines [c, e]; Solomon Islands [c, e]; Vanuatu [b, c, e]
<i>Para (11) (reporting deadline)</i>	China, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Solomon Islands, Tuvalu, Chinese Taipei, United States , Vanuatu	Ecuador, Indonesia, Papua New Guinea, El Salvador	Panama, Philippines, ,	Panama [2]; Philippines [2]	
<i>Para (13)</i>	China, El Salvador, European Union, Indonesia, Japan, Kiribati, Korea, Philippines, Solomon Islands, Chinese Taipei,				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	United States, Vanuatu				
<i>Para (29)</i>	Australia, China, Ecuador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, United States, Vanuatu				
<i>Para (34)</i>	Australia, Canada, Cook Islands, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, New Caledonia, New Zealand, Panama, Philippines, Tonga, Tuvalu, United States		China, Indonesia, Kiribati, Korea, , Chinese Taipei Vanuatu	Indonesia [3]; Kiribati [3]	Kiribati [b]; Vanuatu [b]
<i>Para (35) (a) (ii)</i>	China, Indonesia, Japan, Philippines, Chinese Taipei, Vanuatu		Kiribati	Kiribati (3)	Kiribati [b];
<i>Para (35) (a) (iii)</i>	Solomon Islands, Philippines, Chinese Taipei	Korea	China, Japan, Indonesia, Kiribati, Vanuatu	China [2]; Japan [3]; Indonesia [3]; Kiribati (3); Vanuatu [2]	Kiribati [b]; Vanuatu [b]

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>Para (35) (a) (iii) (reporting deadline)</i>	Solomon Islands,	Philippines	China, Indonesia, Japan, Kiribati, Korea, Chinese Taipei, Vanuatu	China [2]; Indonesia [2]; Japan [2]; Kiribati [2]; Korea [2]; Chinese Taipei [2]; Vanuatu [2]	Kiribati [b]; Vanuatu [b]
<i>Para (35) (a) (iv)</i>	Solomon Islands, Chinese Taipei	Korea, Philippines, Vanuatu	China, Indonesia, Japan, Kiribati	China [2], Indonesia [3], Japan [3], Kiribati [3]	Kiribati [b]; Vanuatu [b]
<i>Paragraph (35) (a) (iv) (reporting deadline)</i>	Solomon Islands,		China, Indonesia, Japan, Kiribati, Korea, Philippines, Chinese Taipei, Vanuatu	China [2], Indonesia [2], Japan [2], Kiribati [2], Korea [2], Philippines [2], Chinese Taipei [2], Vanuatu [2]	Kiribati [b]; Vanuatu [b]
	CMM 2010-01: North Pacific Striped Marlin				
<i>Para (5)</i>	China, Japan, Indonesia , Korea, Philippines, Chinese Taipei, United States				
<i>Para (8)</i>	China, Japan, Indonesia , Korea, Philippines, Chinese Taipei, United States				
<i>Para (8) (reporting</i>	China, Japan, Korea, Philippines, Chinese Taipei,		Indonesia	Indonesia [2]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>deadline)</i>	United States				
	<i>CMM 2010-02: Eastern High Seas Pocket Special Management Area</i>				
<i>Para (2) (ii)</i>	Cook Islands, Federated States of Micronesia, New Zealand, Vanuatu, United States	European Union, Fiji , Kiribati	China, Panama, Chinese Taipei,	China [3], Panama [3], Chinese Taipei [3]	
<i>Para (2) (reporting deadline)</i>	Cook Islands, Federated States of Micronesia, New Zealand	European Union, Kiribati	China, Fiji, Panama, Chinese Taipei, United States, Vanuatu	China [2]; Fiji [2]; Panama [2]; Chinese Taipei [2]; United States [2]; Vanuatu [2]	
	<i>CMM 2010-05: South Pacific Albacore</i>				
<i>Para (1)</i>	Australia, China , European Union, Indonesia, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				
<i>Para (4)</i>	Australia, China, Cook Islands, European Union, Fiji, French Polynesia, Indonesia, Japan, New Caledonia, New Zealand, Samoa, Chinese Taipei, Tonga, United States, Vanuatu				
	<i>CMM 2011-02: Vessel Monitoring System</i>				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>Para (4)</i>	Canada, China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, Panama, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (9)(a)</i>	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, , Thailand, Tuvalu, United States , Vanuatu	Philippines, Chinese Taipei	China, Indonesia, Panama,	China (2), Indonesia (3), Panama (2)	Indonesia [b]
<i>Para (9)(a) – VMS SSPs para 2.8</i>	Australia, Canada, Cook Islands, China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, Japan, Kiribati, Korea, Marshall Islands, New Caledonia,	Chinese Taipei	Indonesia, Panama, Philippines	Indonesia [3], Panama [3], Philippines [3]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	New Zealand, Papua New Guinea, Thailand, Tuvalu, United States , Vanuatu				
<i>Para (9) (a) – VMS SSPs para 7.2.2</i>	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu	Ecuador, Papua New Guinea			
<i>Para (9) (a) – VMS SSPs para 7.2.2 (reporting deadline)</i>	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu		Ecuador, Papua New Guinea	Ecuador (2), Papua New Guinea (2)	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	<i>CMM 2013-01: Tropical Tunas</i>				
<i>Para (9)</i>	China, Ecuador, El Salvador, European Union, Indonesia, Japan, New Zealand, Philippines, Chinese Taipei, United States				
<i>Para (14)</i>	Australia, Ecuador, El Salvador, European Union, Indonesia, New Zealand, Solomon Islands, Japan, Kiribati, Korea, Marshall Islands, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu	China, Federated States of Micronesia, Papua New Guinea			
<i>Para (16)</i>	Australia, China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Solomon Islands, Tuvalu, Chinese Taipei, United States	Indonesia, Vanuatu	Papua New Guinea, Philippines	Papua New Guinea [2], Philippines [2]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>Para (16) (reporting deadline)</i>	China, Federated States of Micronesia, Japan, Kiribati, Korea, New Zealand, Chinese Taipei, United States	Australia, Ecuador, European Union, El Salvador, Indonesia, Marshall Islands, Papua New Guinea, Philippines, Solomon Islands	Tuvalu, Vanuatu	Tuvalu [2] Vanuatu [2]	
<i>Para (16 footnote 3) (reporting deadline)</i>	Kiribati	Federated States of Micronesia, Solomon Islands			
<i>Para (19)</i>	Federated States of Micronesia, Japan, Kiribati, Korea				
<i>Para (20) (collective obligation)</i>	PNA				
<i>Para (22)</i>	Japan				
<i>Para (23)</i>	Australia, Cook Islands, Fiji, French Polynesia, Indonesia, New Caledonia, Philippines, Samoa, Chinese Taipei, Tokelau, Tonga, United States, Vanuatu		Niue, Wallis & Futuna		

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>Para (23) (reporting deadline)</i>	Australia, Cook Islands, Fiji, French Polynesia, New Caledonia, Samoa, Chinese Taipei, Tokelau, Tonga, United States, Indonesia, Niue, Philippines, Vanuatu	Wallis & Futuna			
<i>Para (24)</i>	Australia, Cook Islands, Fiji, French Polynesia, Japan, New Caledonia, Niue, Philippines, Samoa, Chinese Taipei, Tokelau, Tonga, United States, Vanuatu	Indonesia, New Zealand, Wallis & Futuna			
<i>Para (24) (reporting deadline)</i>	Cook Islands, New Caledonia, French Polynesia, Chinese Taipei, United States	Australia, Fiji, Indonesia, Japan, Niue, New Zealand, Philippines, Samoa, Tokelau, Tonga, Vanuatu, Wallis & Futuna			
<i>Para (24) (para 4 – collective obligation)</i>	PNA				
<i>Para (25)</i>	Australia, China, Ecuador, El				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Salvador, European Union, Indonesia, Japan, Korea, Mexico, New Zealand, Philippines, Chinese Taipei, United States				
<i>Para (28)</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
<i>Para (30)</i>	China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu				
<i>Para (33)</i>	China, Ecuador, El Salvador, European Union, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, Tuvalu, United States, Vanuatu		Indonesia	Indonesia [3]	
<i>Para (34)</i>	Federated States of Micronesia, Kiribati,		Indonesia, Philippines	Indonesia [3], Philippines [2]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Marshall Islands, Nauru, Papua New Guinea, Palau, Solomon Islands, Tokelau, Tuvalu, United States, Vanuatu				
<i>Para (37)</i>	Australia, Ecuador, El Salvador, European Union, Federated States of Micronesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, United States, Vanuatu				
<i>Para (37) (reporting deadline)</i>	Australia, Ecuador, El Salvador, European Union, Federated States of Micronesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Papua New Guinea, Philippines, Chinese Taipei, United States, Vanuatu	Indonesia			
<i>Para (40)</i>	China, Indonesia, Japan, Korea, Chinese Taipei		United States		
<i>Para (41)</i>	Australia, European Union,				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	New Zealand, Philippines				
<i>Para (44)</i>	China, Japan, Korea, Chinese Taipei, United States		Indonesia	Indonesia [2]	Indonesia [b]
<i>Para (44) (reporting deadline)</i>	Chinese Taipei, Korea		China, Indonesia, Japan, United States	China [2], Indonesia [2], Japan [2], United States [2]	
<i>Para (47)</i>	Japan, Philippines		Indonesia		Indonesia [c]
<i>Para (48)</i>	Japan, Philippines		Indonesia	Indonesia [2]	
<i>Para (49)</i>	Australia, Canada, China, Ecuador, European Union, El Salvador, Japan, Korea, New Zealand, Philippines, Chinese Taipei, United States				Indonesia [b]
<i>Para (50)</i>	China, Japan, Korea, Philippines, Chinese Taipei, United States				
<i>Para (50) (reporting deadline)</i>	China, Japan, Korea, Philippines, Chinese Taipei, United States				
<i>Para (51)</i>	Australia, Canada, China, European Union, Korea, New Zealand, Philippines,		Japan		

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Chinese Taipei, United States				
<i>Para (52)</i>	Canada, China, European Union, Korea, Philippines, Chinese Taipei, United States		Japan		
<i>Para (54)</i> <i>(para 4 - collective obligation)</i>	non-SIDS CCMs				
<i>Att C (3)</i>		Philippines			
<i>Att C (3)</i> <i>(reporting deadline)</i>		Philippines			
<i>Att C (4)</i>		Philippines			
<i>Att C (5-6)</i>	Philippines				
<i>Att C (8)</i>	Philippines				
	CMM 2013-03: RFV SSPs				
<i>Para (2)</i>	Cook Islands, El Salvador, Marshall Islands, New Caledonia, New Zealand, Tonga, United States	Australia, Canada, China, Ecuador, European Union, Fiji, Federated States of Micronesia, French Polynesia,			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
		Indonesia, Japan, Kiribati, Korea, Panama, Papua New Guinea, Philippines, Solomon Islands, Thailand, Tuvalu, Chinese Taipei, Vanuatu			
	<i>CMM 2013-09: Pacific Bluefin Tuna</i>				
<i>Para (2)</i>	Japan, Korea, Philippines, Chinese Taipei, United States				
<i>Para (8)</i>	Japan, Korea, Philippines, Chinese Taipei, United States				
<i>Para (8) (reporting deadline)</i>	Japan, Korea, Chinese Taipei, United States	Philippines			
	<i>CMM 2013-10: Record of Fishing Vessels</i>				
<i>Para (2)</i>	China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea,				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu, Vietnam				
<i>Para (3)</i>	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu	Ecuador, Thailand			
<i>Para (4)</i>	Australia, Canada, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea,	Ecuador			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu				
<i>Para (7)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<i>Para (7) (reporting deadline)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu				
<i>Para (9)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<i>Para 9 (reporting deadline)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union,				

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<i>Para (16)</i>	Australia, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Tuvalu, United States, Vanuatu	Thailand			
<i>Para (17)</i>	Australia, Canada, China,	Wallis & Futuna			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Panama, Papua New Guinea, Philippines, Solomon Islands, Chinese Taipei, Thailand, Tonga, Tuvalu, United States, Vanuatu				
	CMM 2014-07: CMS				
<i>Para (22) (reporting deadline)</i>	Australia, China, Cook Islands, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall Islands, New Zealand, Panama, Philippines, Samoa, Solomon Islands, Chinese Taipei, Thailand, Tuvalu, United States, Vanuatu, Vietnam	Ecuador, France, Palau, Wallis & Futuna	Papua New Guinea	Papua New Guinea [2]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Convention				
<i>Article 23 Para (5) (reporting deadline)</i>					
<i>Article 23 Para (2) (b) (reporting deadline)</i>	Australia, Canada, China, Cook Islands, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, Mexico, Nauru, New Caledonia, New Zealand, Niue, Panama, Philippines, Palau, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu, Vietnam	Indonesia	Ecuador, Papua New Guinea, El Salvador, Thailand, Wallis and Futuna	Ecuador (2), Papua New Guinea, (2), El Salvador (2), Thailand (2), Wallis and Futuna [3]	
<i>Article 23 Para (2) (c) (reporting deadline)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Kiribati, Korea, Marshall		France, Wallis & Futuna	France [3], Wallis & Futuna [3]	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Islands, Mexico, Nauru, New Caledonia, New Zealand, Niue, Panama, Papua New Guinea, Philippines, Palau, Samoa, Solomon Islands, Chinese Taipei, Thailand, Tokelau, Tonga, Tuvalu, United States, Vanuatu, Vietnam				
<i>Article 25 Para (2) (reporting deadline)</i>	Fiji, Japan, Chinese Taipei	China, Philippines, Vanuatu, Wallis & Futuna			

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Scientific Data²				
Section 01 – Estimate of Annual Catches ²	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu		China [Tier II: 77%], Indonesia [Tier II: 69%]	China (2), Indonesia (3)	

² The references in columns “Non-Compliant” and “Priority Non-Compliant” are based on the tiered scoring system used in the following document: *Scientific Data to be Provided to the Commission* (WCPFC-TCC11-2015-IP04 dated 17 August 2015), however, the percentages shown are the latest as provided by SPC to TCC11 (as at 25 Sept 2015).

Tier I: No data are provided, or data have been provided but they have been evaluated as ‘unusable’ (instances where none of the data provided can be used in assessments). This level of data gap is the most severe and has by far the greatest impacts on the scientific work of the Commission.

Tier II: Data have been provided, most of which can be used for the scientific work of the Commission, but (i) there are one or several (minimum-standard) data fields not provided and/or (ii) the coverage of the data is not according to the requirements. In these cases, some of the scientific work of the Commission cannot be undertaken. The % value assigned in this category represents the estimated proportion of the key attribute data provided compared to the full set of key attribute data required as stipulated in the WCPFC data submission guidelines.

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>Section 01 – (reporting deadline)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu	Indonesia			
<i>Section 02 – Number of Active Vessels</i>	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States,	Indonesia	China	China (2)	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
	Vanuatu				
<i>Section 02 (reporting deadline)</i>	Australia, Canada, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tokelau, Tonga, Tuvalu, United States, Vanuatu	Indonesia	China	China (2)	
<i>Section 03 (vi) – Operational Level Catch and Effort Data</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
<i>Section 03 (reporting deadline) – Operational Level Catch</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>and Effort Data</i>					
<i>Section 04 – Catch and Effort Data Aggregated By Time Period and Geographic Area²</i>	Australia, Canada, Cook Islands, Ecuador, El Salvador, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu	Philippines [Tier 1 for Handline (HL), Ringnet (RN), Other gears (OTH)]	China [Tier II: 88%], Indonesia [Tier I]	China (3); Indonesia (4)	
<i>Section 04 – (reporting deadline)</i>	Australia, Canada, China, Cook Islands, Ecuador, El Salvador, European Union, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu		Indonesia	Indonesia (2)	

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>Section 05 (vi)– Size Compositionⁿ²</i>	Australia, Cook Islands, El Salvador, Federated States of Micronesia, Fiji, French Polynesia, Indonesia, Japan, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu	Kiribati [Tier 1 for Longline (LL)]	China [Tier I for purse seine (PS)] , Ecuador [Tier I for purse seine (PS)], European Union [Tier I for longline (LL) and purse seine (PS)], Philippines [Tier I for Longline (LL)]	China (2), Ecuador (3) European Union (3); Philippines (3)	
<i>Section 05 (reporting deadline)– Size Compositionⁿ</i>	Australia, Cook Islands, China, Federated States of Micronesia, Fiji, French Polynesia, Japan, Kiribati, Korea, Marshall Islands, New Caledonia, New Zealand, Papua New Guinea, Philippines, Samoa, Solomon Islands, Chinese Taipei, Tonga, Tuvalu, United States, Vanuatu, Wallis & Futuna		Ecuador, European Union, Indonesia, El Salvador	Ecuador (2), European Union (2), Indonesia (2), El Salvador (2)	
<i>Section 07</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed
<i>Section 07 (reporting</i>	Not Assessed	Not Assessed	Not Assessed	Not Assessed	Not Assessed

	Compliance or Implementation Status				
CMM/Data Provision	Compliant	Non-Compliant	Priority Non-Compliant	2 nd , 3 rd , 4 th or 5 th Year with a Potential Compliance Issue	Next Steps ¹
<i>deadline)-</i>					